

PTO/SB/08a (08-03)

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Sheet 1 of 2

Complete If Known

Application Number	09/898,850
Filing Date	July 3, 2001
First Named Inventor	Ungerboeck
An Unit	2634
Examiner Name	Dac V. Ha
Attorney Docket Number	13226US02

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet 2

of 2

Complete if Known

Application Number 09/898,850

Filing Date July 3, 2001

First Named Inventor Ungerboeck

Art Unit 2634

Examiner Name Dac V. Ha

Attorney Docket Number 13226US02

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DA		G. Ungerboeck, "Information-Theoretic Reflections on PCM Voiceband Modems," In Codes, Curves, and Signals - Common Threads In Communications, edited by A. Vardy, Kluwer Academic Publishers, 1988	
DL		R.E. Blahut, "Computation of Channel Capacity And Rate-Distortion Functions," IEEE Trans. Inform. Theory, vol. 18, pp. 460-473, 1972	
DA		G. Ungerboeck, "Trellis-Coded Modulation With Redundant Signal Sets - Part II: State Of The Art," IEEE Communications Magazine, vol. 25, pp. 12-21, February 1987	

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